### 811 Chicago Enforcement Summary

<table>
<thead>
<tr>
<th></th>
<th>Excavator</th>
<th>Facility Owner</th>
<th>Locator</th>
<th>Grand Total</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Penalties</td>
<td>Fines</td>
<td>Penalties</td>
<td>Fines</td>
</tr>
<tr>
<td>2017</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Disciplinary Action</td>
<td>13 $</td>
<td>27,000.00</td>
<td>4 $</td>
<td>46,000.00</td>
</tr>
<tr>
<td>Fine</td>
<td>24 $</td>
<td>26,000.00</td>
<td>46 $</td>
<td>46,000.00</td>
</tr>
<tr>
<td>Training</td>
<td>9 $</td>
<td>-</td>
<td>0 $</td>
<td>-</td>
</tr>
<tr>
<td>Training &amp; Fine</td>
<td>1 $</td>
<td>1,000.00</td>
<td>0 $</td>
<td>-</td>
</tr>
<tr>
<td>Warning</td>
<td>3 $</td>
<td>-</td>
<td>0 $</td>
<td>-</td>
</tr>
<tr>
<td>Warning &amp; Training</td>
<td>5 $</td>
<td>-</td>
<td>0 $</td>
<td>-</td>
</tr>
<tr>
<td>2018</td>
<td>344 $</td>
<td>285,500.00</td>
<td>341 $</td>
<td>296,000.00</td>
</tr>
<tr>
<td>Disciplinary Action</td>
<td>116 $</td>
<td>-</td>
<td>45 $</td>
<td>-</td>
</tr>
<tr>
<td>Fine</td>
<td>208 $</td>
<td>262,500.00</td>
<td>296 $</td>
<td>296,000.00</td>
</tr>
<tr>
<td>Training</td>
<td>2 $</td>
<td>-</td>
<td>0 $</td>
<td>-</td>
</tr>
<tr>
<td>Training &amp; Fine</td>
<td>17 $</td>
<td>23,000.00</td>
<td>0 $</td>
<td>-</td>
</tr>
<tr>
<td>Warning</td>
<td>1 $</td>
<td>-</td>
<td>0 $</td>
<td>-</td>
</tr>
<tr>
<td>Grand Total</td>
<td>399 $</td>
<td>312,500.00</td>
<td>391 $</td>
<td>342,000.00</td>
</tr>
</tbody>
</table>

811 Chicago investigates all reported damages to underground facilities. 811 Chicago may issue a penalty if an investigation determines damages were caused by non-compliance with City of Chicago Municipal Code §10-21. Penalty options include disciplinary action (against individuals determined to have caused the damage), fines, training (mandatory), and warning letters. Penalty types may also be combined as in the case of a fine and training. The type of penalty depends on the violation committed and the number of previous violations attributed to the company. Violations are issued to one of three 811 Chicago stakeholders: excavators (company conducting the work), facility owners (company that owns the underground utility), or locators (company that marks the location of the underground facility prior to work). Table above shows the number of penalties assessed against each category of stakeholder for 2017 and 2018. Fine totals reflect the citation amount, not necessarily the final amount collected by the City. Data is current as of September 16, 2019.